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Docket No.: S63.2N-6072-US03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ballou et al.

Application No.:

Not yet assigned

Filed: For:

Concurrently herewith Flexible Metal Wire Stent

Group Art Unit:

Not yet assigned

Mail Stop Patent Application
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

ASSIGNEE'S STATEMENT OF OWNERSHIP 37 CFR 3.73(B)

Boston Scientific Corporation, a corporation is the assignee of the entire right, title and interest in the patent application identified above by virtue of a chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

From: Kurt Ballou, George T. Roberts, Kristian J. Dimatteo and Adrian

C. Ravenscroft

To: Boston Scientific Corporation

The document was recorded in the Patent and Trademark Office at Reel 9110, Frames 0347-0351, or for which a copy thereof is attached.

Copies of assignments or other documents in the chain of title are attached.

The undersigned is empowered to sign this statement of ownership certificate on behalf of the assignee.

By:

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: April 19, 2004

James M. Urzedowski

Registration No.: 48596

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185

Telephone: (952) 563-3000 Facsimile: (952) 563-3001

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Docket No. S63.2-6072

DECLARATION

As a below-named inventor, I(we) hereby declare that:

TYPE OF DECLARATION

This decla	aration is	s of the following type:
•	s .	original
		design
		supplemental
	3 ;	national stage of PCT
כ	, כ	divisional
		continuation
C	י כ	continuation-in-part (CIP)
		INVENTORSHIP DECLARATION
]	My residence, post office address, and citizenship are as stated below next to my name;
	irst and j	I verily believe I am the original, first and sole inventor (if only one name is listed below) or an joint inventor (if plural names are listed below) of the subject matter which is claimed and for which on the invention entitled:
		FLEXIBLE METAL WIRE STENT
the specifi	cation o	f which
a)) [is being filed concurrently herewith
b) 🗦	was filed on October 1, 1997 and assigned Serial No. 08/942,162
c)) (was filed as PCT International Application No filed on and amended under PCT Article 19 on
	ACK	NOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56 including information occurring between the filing date of any prior application of which the present application is a continuation-in-part.

In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.

a) no such applications have been filed.
b) such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119	
			□YES NO□	
ļ			OYES NOO	
			DYES NOD	
			. OYES NOD	

I hereby claim the benefit under Title 35 United States Code, §119(e) of any United States provisional application identified below.

a) no such applications have been filed.
b) under such applications have been filed as follows:

U.Ś. APPLICATIONS		
SERIAL NUMBER	U.S. FILING DATE	
1.		
2.		

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications(s) and the national or PCT international filing date of this application.

a) no such applications have been filed.
b) such applications have been filed as follows.

U.S. APPLICATIONS			
SERIAL NUMBER	U.S. FILING DATE		
1.			
2.			
PCT APPLICATIONS DESIGNATING THE U.S.			
PCT APPLICATION NO.	PCT FILING DATE		
3.			

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First Inventor Second Inventor Kurt Ballou Full name: Full name: George T. Roberts Inventor's signature: Date: Citizenship: United States Of America United States of America Citizenship: 22 Woburn Street Post office Address: Post office Address: 128 Pollard Road Andover, MA 01810 Mountain Lakes, NJ 07046 30 Blaine St. Residence: Residence: Allston MA (If different than above) (If different than above) 02134 Third Inventor Fourth Inventor Kristian J. DiMatteo Full name: Full name: Adrian C. Ravenscroft Inventor's signature: Inventor's signature: Date: United States of America Citizenship: Citizenship: Great Britain Post office Address: 176A Main Street Post office Address: 33 Buckingham Road Maynard, MA 01754 Milton, MA 02186 Residence: Residence: (If different than above) (If different than above)

First Inventor Second Inventor Full name: Kurt Ballou Full name: George T. Roberts . Inventor's signature: Date: Citizenship: United States Of America Citizenship: United States of America Post office Address: 22 Woburn Street Post office Address: 128 Pollard Road Andover, MA 01810 Mountain Lakes, NJ 07046 Residence: Residence: (If different than above) (If different than above) Third Inventor Fourth Inventor Full name: Kristian J. DiMatteo Adrian C. Ravenscroft Full name: Inventor's signature: Inventor's signature: Date: United States of America Citizenship: Citizenship: Great Britain Post office Address: 176A Main Street Post office Address: 33 Buckingham Road Maynard, MA 01754 Milton, MA 02186. Residence: (If different than above) (If different than above)

First Inventor Second Inventor Kurt Ballou George T. Roberts Date: Date: Citizenship: United States Of America United States of America Citizenship: Post office Address: 22 Woburn Street 128 Pollard Road Post office Address: Andover, MA 01810 Mountain Lakes, NJ 07046 Residence: Residence: (If different than above) (If different than above) Third Inventor Fourth Inventor Full name: Kristian J. DiMatteo Adrian C. Ravenscroft Full name: Inventor's signature: Date: Date: Citizenship: United States of America Citizenship: Great Britain Post office Address: 176A Main Street 33 Buckingham Road Post office Address: Maynard, MA 01754 Milton, MA 02186 Residence: Residence: (If different than above)

(If different than above)

First Inventor **Second Inventor** Kurt Ballou Full name: George T. Roberts Date: Date: Citizenship: United States Of America United States of America Citizenship: Post office Address: 22 Woburn Street Post office Address: 128 Pollard Road Andover, MA 01810 Mountain Lakes, NJ 07046 Residence: Residence: (If different than above) (If different than above) Third Inventor Fourth Inventor Full name: Kristian J. DiMatteo Adrian C. Ravenscroft Full name: Inventor's signature: Inventor's signature: Date: Date: United States of America Citizenship: Great Britain Citizenship: Post office Address: 176A Main Street Post office Address: 33 Buckingham Road Maynard, MA 01754 Milton, MA 02186 Residence: Residence: (If different than above)

(If different than above)



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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JUNE 16, 1998

PTAS

VIDAS, ARRETT & STEINKRAUS, P.A. OLIVER F. ARRETT SUITE 2000, 6109 BLUE CIRCLE DRIVE MINNETONKA, MN 55343-9131



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/13/1998

REEL/FRAME: 9110/0347

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BALLOU, KURT

DOC DATE: 03/23/1998

ASSIGNOR:

ROBERTS, GEORGE T.

DOC DATE: 03/30/1998

ASSIGNOR:

DIMATTEO, KRISTIAN J.

DOC DATE: 03/23/1998

ASSIGNOR:

RAVENSCROFT, ADRIAN C.

DOC DATE: 03/24/1998

ASSIGNEE:

BOSTON SCIENTIFIC CORPORATION ONE BOSTON SCIENTIFIC PLACE NATICK, MASSACHUSETTS 01760-1537

SERIAL NUMBER: 08942162

PATENT NUMBER:

FILING DATE: 10/01/1997

ISSUE DATE:

9110/0347-PAGE 2

SHARMALLA SIMPSON, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS



04-24-1998



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

MRD 11398

100693674
RECORLANDIN FORM COVER SHEET

PATENTS ONLY				
To the Honorable Commissioner of Patents and Trace 1. Name of conveying party(ies): Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft Additional name(s) of conveying party(ies) attached? yes no A 3. Nature of Conveyance: Assignment	demarks. Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): Name: Boston Scientific Corporation Internal Address: Street Address: One Boston Scientific Place, Natick, MA 01760-1537 City State Zip Additional name(s) & address(es) attached? Yes			
Other Execution Date: 3/23/1998; 3/24/1998; 3/30/1998 4. Application number(s) or patent number(s): 08/9 If this document is being filed with a new application A. Patent Application No.(s)	42,162			
08/942,162	numbers attached? □ yes no 🗷			
correspondence concerning document should be mailed: Oliver F. Arrett Vidas, Arrett & Steinkraus, P.A. Suite 2000, 6109 Blue Circle Drive Minnetonka, MN 55343-9131	7. Total fee (37 CFR 3.41): \$160.00 Enclosed Authorized to be charged to deposit account 8. Deposit Account Number: 22-0350 (Attach duplicate of this page if paying by deposit account)			
DO NOT USE THIS SPACE				
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Oliver F. Arrett Signature Total number of pages including cover sheet, attachments, and comment:6				
OMB No. 0651-011 (exp. 4/94)	<u> </u>			
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Docket No. S63.2-6072

ASSIGNMENT

WHEREAS, I(we), Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft, residing at: 22 Woburn Street, Andover, MA 01810; 128 Pollard Road, Mountain Lakes, NJ 07046; 176A Main Street, Maynard, MA 01754; 33 Buckingham Road, Milton, MA 02186, have invented and own the entire United States right, title and interest in an invention for

FLEXIBLE METAL WIRE STENT

disclosed in my application for United States Letter Patent filed

concurrently herewith on October 1, 1997 and assigned Serial No. 08/942,162; and

I hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, to insert the filing date and application number of said application above when known.

WHEREAS, Boston Scientific Corporation ("Assignee"), a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having its principal place of business at One Boston Scientific Place, Natick, MA 01760-1537, is desirous of acquiring the entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

Dated: 323 98	First Inventor's Signature:	Kurt Callon
	First Inventor's Name:	Kurt Ballou
Dated:	Second Inventor's Signature:	
	Second Inventor's Name:	George T. Roberts
Dated:	Third Inventor's Signature:	
	Third Inventor's Name:	Kristian J. DiMatteo
Dated:	Fourth Inventor's Signature:	
	Fourth Inventor's Name:	Adrian C. Ravenscroft

Docket No. S63.2-6072

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Dated:	First Inventor's Signature: First Inventor's Name:	Kurt Ballou
Dated: 3/30/98	Second Inventor's Signature: Second Inventor's Name:	George T. Roberts
Dated:	Third Inventor's Signature: Third Inventor's Name:	Kristian J. DiMatteo
Dated:	Fourth Inventor's Signature: Fourth Inventor's Name:	Adrian C. Ravenscroft

Docket No. S63.2-6072

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Dated:	First Inventor's Signature: First Inventor's Name:	Kurt Ballou
Dated:	Second Inventor's Signature:	
	Second Inventor's Name:	George T. Roberts
Dated: 3.23.98	Third Inventor's Signature: Third Inventor's Name:	Kristian J. DiMatteo
Dated:	Fourth Inventor's Signature:	
	Fourth Inventor's Name:	Adrian C. Ravenscroft

Docket No. S63.2-6072

ASSIGNMENT

WHEREAS, I(we), Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft, residing at: 22 Woburn Street, Andover, MA 01810; 128 Pollard Road, Mountain Lakes, NJ 07046; 176A Main Street, Maynard, MA 01754; 33 Buckingham Road, Milton, MA 02186, have invented and own the entire United States right, title and interest in an invention for

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Dated:	First Inventor's Signature: First Inventor's Name:	Kurt Ballou
Dated:	Second Inventor's Signature: Second Inventor's Name:	George T. Roberts
Dated:	Third Inventor's Signature: Third Inventor's Name:	Kristian J. DiMatteo
Dated: 3/2//98	Fourth Inventor's Signature: Fourth Inventor's Name:	Adrian C. Ravenscroft

ດ . ນ	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Myentor(s):	Kurt Ballou; George T. Roberts; Kristian J. DiMatteo; Adrian C. Ravenscroft
Title:	FLEXIBLE METAL WIRE STENT
Filed:	concurrently herewith
	on October 1, 1997 and assigned Serial No. 08/942,162

Certificate Under 37 CFR 3.73(b)

Boston	Scientific Corpo	pration, a corporation
	f assignee)	(e.g., corporation, partnership, university, government agency etc)
certifie virtue (ssignee of the entire right, title and interest in the patent application identified above by
Α.	[X] An assign recorded in the recordation.	ament from the inventor(s) of the patent application identified above. The assignment was e Patent and Trademark Office at Reel *, Frame *, or a copy thereof is enclosed for
OR		
B. [] A chain of title from the inventor(s), of the patent applica as shown below:		title from the inventor(s), of the patent application identified above, to the current assignee v:
	1.	From: * To: * The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
	2.	From: * To: * The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
	3.	From: * To: * The document was recorded in the Patent and Trademark Office at Reel *, Frame *, or for which a copy thereof is attached.
	[]	Additional documents in the chain of title are title are listed on a supplemental sheet.

[] Copies of assignments or other documents in the chain of title are attached.

The undersigned is empowered to sign this certificate on behalf of the assignee.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

Q4/13/98

UTILITY/DESIGN PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

_			
Inventor	(د)	٠	•

Kurt Ballou, George T. Roberts; Kristian J. DiMatteo; Adrian C.

Ravenscroft

Title:

FLEXIBLE METAL WIRE STENT

Filed:

concurrently herewith

X

on October 1, 1997 and assigned Serial No. 08/942,162

Assistant Commissioner for Patents Washington, DC 20231

Docket No: S63.2-6072

POWER OF ATTORNEY FROM ASSIGNEE

As assignee of record of the entire interest of the above identified patent application, Boston Scientific Corporation hereby appoints the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Oliver F. Arrett	Reg. No. 22,117
Scott Q. Vidas	Reg. No. 30,812
Walter J. Steinkraus	Reg. No. 29,592
Richard A. Arrett	Reg. No. 33,153
Robert O. Vidas	Reg. No. 20,164
Leoniede M. Brennan	Reg. No. 35,832
Jane H. Arrett	Reg. No. 33,355
William E. Anderson II	Reg. No. 37,766

all of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131, Telephone: (612) 563-3000, Facsimile (612) 563-3001, and hereby authorizes them to act and rely on instructions from, and to communicate directly with, the firm or person which sent this case to Vidas, Arrett & Steinkraus, P.A., unless or until it instructs Vidas, Arrett & Steinkraus P.A., in writing to the contrary.

Dated this16	th day of _	March	_, 19 <u>98</u>
(Ca	отрапу Name)	Boston Scientific Corporation	,
(Si ₂	gnature)	By By	1
(ry)	ped name)	Luke Dohmen	
(site	le)	Its: Sr. Patent Attorney	